

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
(Case No. 99,395-A)

PATENT

Box 500

In the application of:  
Lawn, et al.

Serial No.: 09/595,526

Filed: June 16, 2000

For: COMPOSITIONS AND METHODS FOR  
INCREASING CHOLESTEROLE EFFLUX  
AND RAISING HDL USING ATP BINDING  
CASSETTE TRANSPORTER PROTEIN  
ABC1

Examiner: M. Rao

Art Unit: 1652

TECH CENTER 1600/2900

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Commissioner for Patents  
Washington, D.C. 20231

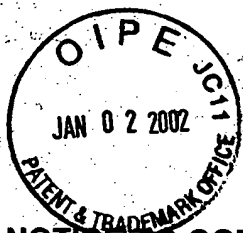
Sir:

TRANSMITTAL LETTER

In regard to the above identified application:

1. We are transmitting herewith the attached:
  - a. Copy of Notice to Comply with requirements for patent applications containing nucleotide and/or amino acid sequence disclosures
  - b. Response to Notice to Comply with requirements for patent applications containing nucleotide and/or amino acid sequence disclosures
  - c. Statement Under 37 CFR § 1.821(f)
  - d. Sequence Listing
  - e. Disk with sequence listing
  - f. Return Receipt Postcard
2. With respect to additional fees: There are no fees
3. Please charge any additional fees or credit overpayment to Deposit Account No.13-2490.
4. **CERTIFICATE UNDER 37 C.F.R. 1.10:** The undersigned hereby certifies that this Transmittal Letter and the papers, as described in paragraph 1 hereinabove, are being deposited in the United States Postal Service with sufficient postage as First Class Mail to Addressee, in an envelope addressed to: Box Sequence, No Fee, Commissioner for Patents, Washington, D.C. 20231 on this 29<sup>th</sup> day of October, 2001.

By: Galina Yakovleva  
Galina M. Yakovleva  
Reg. No. 47,192



Application No.: 09/595,526

**NOTICE TO COMPLY WITH REQUIREMENTS FOR PATENT APPLICATIONS CONTAINING  
NUCLEOTIDE SEQUENCE AND/OR AMINO ACID SEQUENCE DISCLOSURES**

The nucleotide and/or amino acid sequence disclosure contained in this application does not comply with the requirements for such a disclosure as set forth in 37 C.F.R. 1.821 - 1.825 for the following reason(s):

- ☐ 1. This application clearly fails to comply with the requirements of 37 C.F.R. 1.821-1.825. Applicant's attention is directed to these regulations, published at 1114 OG 29, May 15, 1990 and at 56 FR 18230, May 1, 1990.
- ☐ 2. This application does not contain, as a separate part of the disclosure on paper copy, a "Sequence Listing" as required by 37 C.F.R. 1.821(c).
- ☐ 3. A copy of the "Sequence Listing" in computer readable form has not been submitted as required by 37 C.F.R. 1.821(e).
- ☒ 4. A copy of the "Sequence Listing" in computer readable form has been submitted. However, the content of the computer readable form does not comply with the requirements of 37 C.F.R. 1.822 and/or 1.823, as indicated on the attached copy of the marked -up "Raw Sequence Listing."
- ☐ 5. The computer readable form that has been filed with this application has been found to be damaged and/or unreadable as indicated on the attached CRF Diskette Problem Report. A Substitute computer readable form must be submitted as required by 37 C.F.R. 1.825(d).
- ☐ 6. The paper copy of the "Sequence Listing" is not the same as the computer readable form of the "Sequence Listing" as required by 37 C.F.R. 1.821(e).
- ☐ 7. Other: The specification must be amended to include SEQ ID NOS. where applicable.

**Applicant Must Provide:**

- ☒ An initial or substitute computer readable form (CRF) copy of the "Sequence Listing".
- ☐ An initial or substitute paper copy of the "Sequence Listing", as well as an amendment directing its entry into the specification.
- ☒ A statement that the content of the paper and computer readable copies are the same and, where applicable, include no new matter, as required by 37 C.F.R. 1.821(e) or 1.821(f) or 1.821(g) or 1.825(b) or 1.825(d).

For questions regarding compliance to these requirements, please contact:

For Rules Interpretation, call (703) 308-4216

For CRF Submission Help, call (703) 308-4212

For PatentIn software help, call (703) 308-6856

**PLEASE RETURN A COPY OF THIS NOTICE WITH YOUR RESPONSE**